Tennessee Tech University
Institutional Review Board for the Protection of Human Subjects

Request for

FULL WAIVER of Authorization Requirements for Protected Health Information

under the HIPAA Privacy Rule

Date of Request:

Name of Principal Investigator:

Name or Title of Project:

This project has been submitted to the TTU IRB for review at the following level (check one):

☐ Expedited Review ☐ Full Board Review

List and describe the specific PHI for which use or access is needed to carry out the proposed research (Be specific; attach additional page, if needed):

Briefly explain the necessity, in terms of your research, for access or use of the specific elements of PHI that you listed above (use additional page if needed):

I confirm that the responses on this request are accurate and complete

_____ _____

Signature of Principal Investigator Date

Tennessee Tech University
Institutional Review Board for the Protection of Human Subjects

Approval of

FULL WAIVER of Authorization Requirements for Protected Health Information

under the HIPAA Privacy Rule

The Tennessee Tech Institutional Review Board for the Protection of Human Subjects (IRB) confirms that the research project referenced in the Request for Full Waiver has been submitted for required IRB review at the Expedited or Full-Board level.

The IRB confirms that the following requirements for a Full Waiver of Authorization have been met and documented by the principal investigator:

- The PHI use or disclosure in this project involves no more than minimal risk to the privacy of individuals whose PHI will be accessed, used, or disclosed.
- The principal investigator has presented to the IRB an adequate plan to protect PHI identifiers from improper use and disclosure;
- The principal investigator has presented to the IRB an adequate plan to destroy those PHI identifiers at the earliest opportunity;
- The principal investigator has assured the IRB in writing that the PHI will not be reused or disclosed to any other person or entity except (a) as required by law, (b) for authorized oversight of the research study, or (c) for other research for which the use or disclosure of the PHI is permitted by the Privacy Rule.
- The principal investigator asserts that the research could not practicably be conducted (a) without the requested waiver, and (b) without access to and use of the PHI.

The covered entity (institution, agency, provider, insurer) is required by law to retain this documentation of IRB approval of the waiver for at least 6 years from the date the waiver was obtained, or from the date when it was last in effect, whichever is later.

The IRB for the Protection of Human Subjects hereby **APPROVES** this Request for Full Waiver of Authorization for the project referenced above, and under the terms described in this document. The Request for Full Waiver is considered part of this approval document by reference.

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Signature, Chair, Tennessee Tech IRB Date of Approval

Printed Name: _____